

Chapter 5

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Radio Transmissions

500.00 Transmission Prohibition. No member shall transmit, cause, or permit to be transmitted, from Kansas City or any other point, by any kind of radio service, in which the member's own name, the name of the firm, company, or corporation the member represents, or in which the name of any employee or person in any way connected with the member or the firm, company, or corporation the member represents, is mentioned, any market quotations, reports, or gossip of any kind without first having the approval of the Board of Directors on the recommendation of the appropriate committee.

500.01 Permission. The granting of such permission shall be limited to such time and material as the Board of Directors may grant.

500.02† Radio Broadcasting. Blanket approval has been granted to members of the Board of Trade to sponsor radio broadcasting of Kansas City market quotations and permission to state the name, address, phone number, and personnel of the sponsor; a factual statement of the services rendered by the sponsor; and permission to solicit business, i.e., invite inquiries, furnishing of brochures upon request, etc. (as adopted by Board of Directors' Resolution, April 27, 1967).

501.00 Taking Credit. Taking credit, or allowing credit to be given, by radio, personal statement, letter, circular, card, or in any other manner, for furnishing such information for radio service shall be construed as a violation of this Chapter.

502.00 Penalties. Any member violating any provision of this Chapter shall be subject to the disciplinary action pursuant to Chapter 14 or Chapter 33.

Reporting Requirements

505.00 Stocks, Receipts and Shipments. All members, firms, or corporations operating any facility for the receipt and shipment of grain or other commodities into, out of, or through the switching district of an Exchange approved delivery location set forth in Rule 2000.03, shall submit the following reports;

1. Daily reports of previous business day's activity shall be submitted to the Exchange no later than 30 minutes prior to the opening of the KCBT wheat futures market on the business day following the date of the report. Such report shall reflect, by commodity, in bushels; total beginning stocks; amounts unloaded into and loaded out of such facility reported separately for rail, truck and barge conveyance; and total ending stocks.

2. Weekly reports shall be submitted to the Exchange no later than 3:00 p.m. on each Monday following the Friday covered by the report. If Monday is a holiday, the report shall be submitted no later than 3:00 p.m. on the next business day. Such report for each warehouse shall reflect, in bushels, as of the close of business each Friday; the total quantity of deliverable grades of Hard Red Winter Wheat stored in the warehouse, excluding CCC stocks; the total quantity of non deliverable and ungraded Hard Red Winter Wheat stored in the warehouse, excluding CCC stocks; the total quantity of Hard Red Winter Wheat CCC stocks stored in the warehouse; and the total quantity of deliverable, non deliverable/ungraded, and CCC stocks of Hard Red Winter Wheat stored in the warehouse.

3. All such individual warehouse reports submitted pursuant to this rule shall be kept confidential by the Exchange, but shall be made public in total, by location. For the daily reports submitted under subsection 1 above, such public totals shall be reported separately, by location, by commodity, and, for receipts and shipments, reported separately by each form of conveyance. Any member who fails to report the information's required by this rule within the prescribed time deadlines or submits a false report to the Exchange pursuant to this rule, shall be subject to disciplinary action under Chapter 14 of these rules.